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PATENT

AF #  
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Practitioner's Docket No.

67,200-530

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chung-En Kao

Serial No. 09/ 976,082

Group No.: 1753

Filed: Oct. 12, 2001

Examiner: Steven H. Versteeg

For: Apparatus and Method for Self-Centering a Wafer in a Sputter Chamber

Mail Stop: Appeal  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

#  
7/w.m.  
7/17/03

NOTICE OF APPEAL FROM THE PRIMARY EXAMINER  
TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant hereby appeals to the Board from the decision of the Primary Examiner mailed April 7, 2003  
finally rejecting claims 1, 3 - 5 and 7 - 14.

The item(s) checked below are appropriate:

1. STATUS OF APPLICANT

This application is on behalf of

☒ other than a small entity.

☐ a small entity.

A verified statement

☐ is attached.

☐ was already filed on \_\_\_\_\_.

2. FEE FOR FILING NOTICE OF APPEAL

Pursuant to 37 C.F.R. 1.17(e) the fee for filing the Notice of Appeal is:

☐ small entity \$160.00

☒ other than a small entity \$320.00

Notice of Appeal fee due: \$ 320.00

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

☒ MAILING  
deposited with the United States Postal  
Service filed by first class in an envelope  
addressed to: Mail Stop: Appeal, Commissioner  
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: July 7, 2003

Kathy Dixon

07/10/2003 EAREGAY1 00000158 09976082

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320.00 0P

3. EXTENSION OF TERM

NOTE: The time periods set forth in 37 C.F.R. 1.191 are subject to the provision of §1.136 for patent applications. 37 C.F.R. 1.191(d). (But see 37 C.F.R. 1.645 for extension of time in interference proceedings and 37 C.F.R. 1.550(c) for extension of time in reexamination proceedings).

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 400.00	\$200.00
<input type="checkbox"/>	three months	\$ 920.00	\$460.00
<input type="checkbox"/>	four months	\$1,400.00	\$720.00

Fee \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

or

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

4. TOTAL FEE DUE

The total fee due is:

Notice of Appeal fee \$ 320.00

Extension fee (if any) \$ \_\_\_\_\_

TOTAL FEE DUE: \$ 320.00

5. FEE PAYMENT

- ☐ Attached is a check in the sum of \$ 0  
☒ Charge Visa Credit Card No. 4756 8461 9568 0263 the sum of \$ 320.00  
A duplicate copy of this transmittal is attached.

6. FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O. G. 31-33.

- ☒ If any additional extension and/or fee is required,  
charge Visa Credit Card No. 4756 8461 9568 0263

AND/OR

- ☐ If any additional fee for claims is required,  
charge Visa Credit Card No. 4756 8461 9568 0263



\_\_\_\_\_  
Signature of Practitioner

Reg. No. 31,311

Randy W. Tung  
(type or print name of attorney)

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